

# IT-math F2003 : Selected Solution(s)

## Episode 7, March 18, 2003

**FP3.** Determine if  $\{\{n\} \mid n \in \mathbb{N}\}$  is a partition of  $\mathbb{N}$ .

**Solution.** Call the set in the statement of the exercise  $P$ . We show that  $P$  is a partition of  $\mathbb{N}$ . In order to do that, we have to verify that the three properties in the definition of a partition hold for  $X$ .

(i) Show that  $\bigcup P \stackrel{\text{def}}{=} \{x \mid x \in C \in P \text{ for some } C\} = \mathbb{N}$ .

If  $n \in \mathbb{N}$ , then we have  $n \in \{n\} \in P$ , so  $\mathbb{N} \subseteq \bigcup P$ . Conversely, if  $x \in \bigcup P$ , then there is  $C \in P$  with  $x \in C$ . As, by the definition of  $P$ , any  $C \in P$  is equal to  $\{n\}$  for some  $n \in \mathbb{N}$ , we have that  $x \in \{n\}$  for some  $n \in \mathbb{N}$ . Therefore  $x = n \in \mathbb{N}$ . Thus  $\bigcup P \subseteq \mathbb{N}$ . As we have both  $\mathbb{N} \subseteq \bigcup P$  and  $\bigcup P \subseteq \mathbb{N}$ , conclude  $\bigcup P = \mathbb{N}$ .

(ii)  $C \neq \emptyset$  for all  $C \in P$ .

Since for any  $C \in P$  one has  $C = \{n\}$  for a natural number  $n$ ,  $C \neq \emptyset$ , as singleton sets are non-empty.

(iii) If  $C_1, C_2 \in P$  are such that  $C_1 \cap C_2 \neq \emptyset$ , then  $C_1 = C_2$ .

Suppose  $C_1 \cap C_2 \neq \emptyset$  for some  $C_1, C_2 \in P$ . By the definition of  $P$ , we have  $C_1 = \{n_1\}$  and  $C_2 = \{n_2\}$  for some  $n_1, n_2 \in \mathbb{N}$ . If  $x \in \{n_1\} \cap \{n_2\}$ , then  $x \in \{n_1\}$  and  $x \in \{n_2\}$ . Therefore  $x = n_1$  and  $x = n_2$ . Hence  $n_1 = n_2$ , so  $\{n_1\} = \{n_2\}$ , so  $C_1 = C_2$  as required.

**SC1.** Given an equivalence relation  $E$  on a set  $X$ , recall the set

$$X/E = \{[x]_E \mid x \in X\}, \quad \text{where } [x]_E = \{y \in X \mid y E x\}.$$

Write down a careful proof that  $X/E$  is a partition of  $X$ .

**Solution.** As in FP3, we verify that  $X/E$  has the three properties.

(i)  $\bigcup X/E \stackrel{\text{def}}{=} \{x \mid x \in C \in X/E \text{ for some } C\} = X$ .

For  $x \in X$ , we have  $x \in [x]_E \in X/E$ , so  $X \subseteq \bigcup X/E$ . Since the elements of  $X/E$  are equivalence classes of  $E$ , and each equivalence class is a subset of  $X$ , we have that  $\bigcup X/E$ , being a union of subsets of  $X$ , is itself a subset of  $X$ . [In greater detail, if  $x \in C \in X/E$  then  $C = [y]_E$  for some  $y \in X$ , so  $(x, y) \in E \subseteq X \times X$ . Therefore  $x \in X$ .] Thus  $\bigcup X/E \subseteq X$ . Since we have inclusion in both directions, we have  $\bigcup X/E = X$ .

(ii)  $C \neq \emptyset$  for all  $C \in X/E$ .

If  $C \in X/E$  then by the definition of  $X/E$  one has  $C = [x]_E$  for some  $x \in X$ . Since  $x \in [x]_E$ , one has  $C = [x]_E \neq \emptyset$ .

(iii) If  $C_1, C_2 \in X/E$  are such that  $C_1 \cap C_2 \neq \emptyset$ , then  $C_1 = C_2$ .

Suppose  $C_1, C_2 \in X/E$  are such that  $C_1 \cap C_2 \neq \emptyset$ . We know  $C_1 = [x_1]_E$  and  $C_2 = [x_2]_E$  for some  $x_1, x_2 \in X$ . If  $y \in [x_1]_E \cap [x_2]_E$  then  $x_1 E y E x_2$ . Hence  $x_1 E x_2$  for  $E$ , being an equivalence relation, is transitive. Let us show  $[x_1]_E = [x_2]_E$ . Suppose  $z \in [x_1]_E$ . Then  $z E x_1$ . Since  $x_1 E x_2$ , we also have  $z E x_2$ , or, in other words,  $z \in [x_2]_E$ . This shows  $[x_1]_E \subseteq [x_2]_E$ . The opposite inclusion  $[x_2]_E \subseteq [x_1]_E$  is shown by a totally similar argument (just interchange the roles of  $x_1$  and  $x_2$ ). Hence  $C_1 = [x_1]_E = [x_2]_E = C_2$  as required.

**SC2.** Suppose the relation  $R$  on a set  $X$  is a partial ordering as well as an equivalence relation. Show that for  $x, y \in X$ , one has  $x R y$  iff  $x = y$ .

**Solution.** Let  $x, y \in X$ . If  $x = y$  then  $x R y$  because  $R$ , being an equivalence relation, is reflexive.

Suppose now  $x R y$ . Since  $R$  is an equivalence relation, it is symmetric, so we also have  $y R x$ . Since  $R$  is a partial ordering,  $R$  is antisymmetric, so  $x R y$  and  $y R x$  together imply  $x = y$  as required.

**SC3.** Suppose  $E$  is an equivalence relation on a set  $X$  with  $|X| = 12$ , and  $E$  has two (distinct) equivalence classes each containing 5 elements, and an equivalence class with two elements. Calculate  $|E|$ , the total number of pairs in  $E$ .

**Solution.** The partition  $P$  of  $X$  corresponding to the equivalence relation  $E$  consists of the three equivalence classes described in the exercise:  $P = \{C_1, C_2, C_3\}$ , where  $|C_1| = |C_2| = 5$  and  $|C_3| = 2$ . We have

$$E = \{(x, y) \mid x, y \in C \text{ for some } C \in P\}.$$

Since we already know all elements of  $P$ , we can write this as

$$\begin{aligned} E &= \{(x, y) \mid x, y \in C_1\} \cup \{(x, y) \mid x, y \in C_2\} \cup \{(x, y) \mid x, y \in C_3\} \\ &= (C_1 \times C_1) \cup (C_2 \times C_2) \cup (C_3 \times C_3). \end{aligned}$$

For  $i \neq j$ , we have  $C_i \cap C_j = \emptyset$ , therefore  $C_i \times C_i \cap C_j \times C_j = \emptyset$ . So

$$|E| = |C_1 \times C_1| + |C_2 \times C_2| + |C_3 \times C_3| = |C_1|^2 + |C_2|^2 + |C_3|^2 = 5^2 + 5^2 + 2^2 = 54.$$

**LH1.** Let the relation  $R$  on  $\mathbb{Z} \times \mathbb{Z} \setminus \{(0, 0)\}$  be defined by

$$(m, n) R (m', n') \iff m \cdot n' = m' \cdot n.$$

Is  $R$  an equivalence relation?

**Solution.** We show that  $R$  is an equivalence relation by verifying that it is reflexive, symmetric and transitive.

**Reflexivity:** If  $(m, n) \in \mathbb{Z} \times \mathbb{Z} \setminus \{(0, 0)\}$  then  $m \cdot n = m \cdot n$ , so  $(m, n) R (m, n)$ .

**Symmetry:** Suppose  $(m, n), (m', n') \in \mathbb{Z} \times \mathbb{Z} \setminus \{(0, 0)\}$  and  $(m, n) R (m', n')$ . This means  $m \cdot n' = m' \cdot n$ . It follows that  $m' \cdot n = m \cdot n'$ . Hence  $(m', n') R (m, n)$ .

**Transitivity:** Suppose  $(m, n), (m', n'), (m'', n'') \in \mathbb{Z} \times \mathbb{Z} \setminus \{(0, 0)\}$  and  $(m, n) R (m', n') R (m'', n'')$ . In other words,  $m \cdot n' = m' \cdot n$  and  $m' \cdot n'' = m'' \cdot n'$ . Let us show  $(m, n) R (m'', n'')$ .

**CASE 1.**  $n = 0$ . We then have  $m \cdot n' = m' \cdot n = 0$ . As  $(m, n) \in \mathbb{Z} \times \mathbb{Z} \setminus \{(0, 0)\}$ , it follows that  $m \neq 0$ . Therefore  $n' = 0$  for  $m \cdot n' = 0$ . Analogously, we get  $n'' = 0$  from  $m' \cdot n'' = m'' \cdot n'$  and  $m' \neq 0$ . Therefore  $m \cdot n'' = 0 = m'' \cdot n$  which spells out  $(m, n) R (m'', n'')$ .

**CASE 2.**  $n \neq 0$ . From  $m \cdot n' = m' \cdot n$  it follows that  $m' = \frac{m \cdot n'}{n}$  (we are dividing by a non-zero number). Substituting into  $m' \cdot n'' = m'' \cdot n'$ , we get  $\frac{m \cdot n'}{n} \cdot n'' = m'' \cdot n'$ . If it was the case that  $n' = 0$ , then  $m' \neq 0$  (for otherwise  $(m', n') = (0, 0) \notin \mathbb{Z} \times \mathbb{Z} \setminus \{(0, 0)\}$ ). But then  $0 = m \cdot n' = m' \cdot n \neq 0$ , a contradiction! Therefore  $n' \neq 0$  (this is the same argument we ran in Case 1). Hence we can divide both sides of  $\frac{m \cdot n'}{n} \cdot n'' = m'' \cdot n'$  by  $n'$  to obtain  $\frac{m}{n} \cdot n'' = m''$ . Multiplying both sides by  $n$ , we get  $m \cdot n'' = m'' \cdot n$ , or, equivalently,  $(m, n) R (m'', n'')$  as required.

**LH2.** Let  $X$  be a set and  $Y \subseteq X$ . Define a relation  $R$  on  $\mathcal{P}(X)$  by

$$A R B \iff A \Delta B \subseteq Y.$$

(Recall that  $\Delta$  is the symmetric difference:  $A \Delta B = (A \cup B) \setminus (A \cap B)$ .) Show that  $R$  is an equivalence relation on  $\mathcal{P}(X)$ .

**Solution.** Reflexivity:  $A \Delta A = \emptyset \subseteq Y$ , so  $A R A$  for all  $A \in \mathcal{P}X$ .

Symmetry: If  $A R B$  then  $A \Delta B \subseteq Y$ . Also,

$$B \Delta A = (B \cup A) \setminus (B \cap A) = (A \cup B) \setminus (A \cap B) = A \Delta B \subseteq Y,$$

so  $B R A$ .

Transitivity: Suppose  $A R B R C$ , i.e.  $A \Delta B, B \Delta C \subseteq Y$ . Hence  $(A \Delta B) \cap (B \Delta C) \subseteq Y$ . We are going to show that  $A \Delta C \subseteq (A \Delta B) \cap (B \Delta C)$ , for then it will follow that  $A \Delta C \subseteq Y$ , so  $A R C$ .

If  $x \in A \Delta C = (A \cup C) \setminus (A \cap C)$  then  $x \in A \cup C$  and  $x \notin A \cap C$ . Suppose  $x \in A$ . Then  $x \notin C$ .

CASE 1.  $x \in B$ .

Then  $x \in B \Delta C \subseteq (A \Delta B) \cap (B \Delta C)$ .

CASE 2.  $x \notin B$ .

Then  $x \in A \Delta B \subseteq (A \Delta B) \cap (B \Delta C)$ .

Thus in both cases  $x \in (A \Delta B) \cap (B \Delta C)$ . If  $x \in C$  (so  $x \notin A$ ), an entirely similar argument also shows  $x \in (A \Delta B) \cap (B \Delta C)$ . Therefore  $A \Delta C \subseteq (A \Delta B) \cap (B \Delta C)$ , which by the preceding discussion concludes the solution.

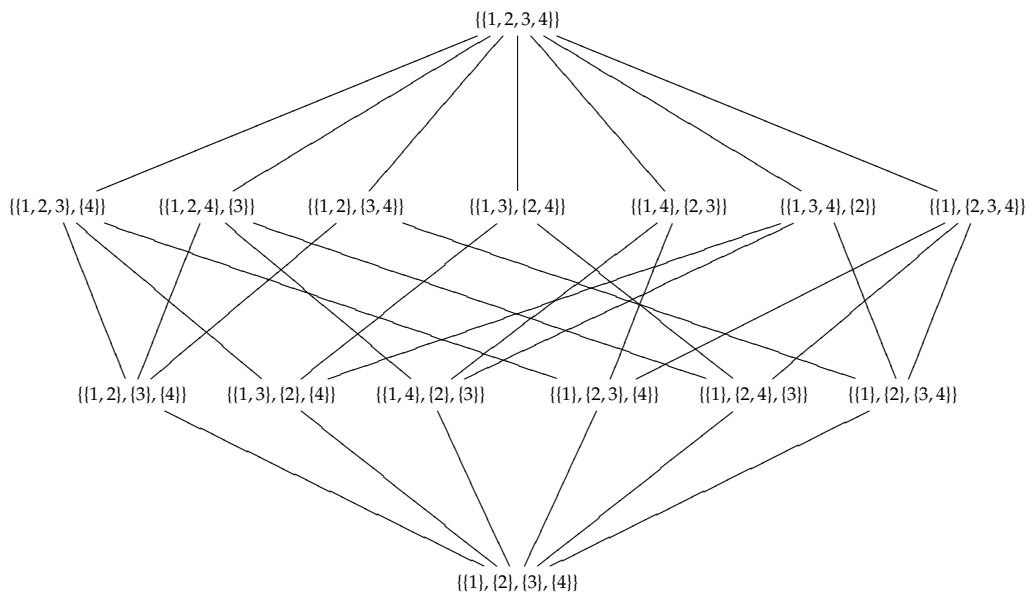
**LH3.** Suppose  $|X| = 4$ . Consider the set  $\mathcal{E}$  of all equivalence relations on  $X$ . This set is partially ordered by  $\subseteq$ . Draw the Hasse diagram of the poset  $(\mathcal{E}, \subseteq)$ .

**Solution.** For simplicity, let us denote the four elements of the set  $X$  by 1, 2, 3, 4.

For two equivalence relations  $E_1, E_2$  on  $X$ , we have  $E_1 \subseteq E_2$  if and only if each equivalence class of  $E_1$  is a subset of some equivalence class of  $E_2$ : Indeed, suppose each equivalence class of  $E_1$  is a subset of some equivalence class of  $E_2$ . If  $x E_1 y$  then  $[x]_{E_1} = [y]_{E_1} \subseteq [z]_{E_2}$  for some  $z \in X$ , so  $x E_2 z E_2 y$ . Thus  $E_1 \subseteq E_2$ . Conversely, suppose  $E_1 \subseteq E_2$ . If  $y \in [x]_{E_1}$  then  $(y, x) \in E_1 \subseteq E_2$  so  $y E_2 x$ . Hence  $y \in [x]_{E_2}$ . Thus  $[x]_{E_1} \subseteq [x]_{E_2}$ .

Recalling that the equivalence classes of an equivalence relation are exactly the components of the corresponding partition, we can reformulate our observation by saying that  $E_1 \subseteq E_2$  iff every component of  $P_1$  is a subset of some component of  $P_2$ , where  $P_i$  is the partition of  $X$  corresponding to  $E_i$ .

This allows us to find out whether  $E_1 \subseteq E_2$  by looking at the partitions corresponding to  $E_i$ , and instead of equivalence relations themselves, we write the corresponding partitions (that generally have much shorter descriptions) as the nodes of the Hasse diagram of  $(\mathcal{E}, \subseteq)$ . So here is the diagram:



**DS1(b).** For  $n, k \in \mathbb{N}_+$  with  $k \leq n$ , let  $\left\{ \begin{smallmatrix} n \\ k \end{smallmatrix} \right\}$  be the number of equivalence relations on a set with  $n$  elements having exactly  $k$  equivalence classes (these are known as *Stirling numbers of the second kind*). For  $1 \leq k < n$ , show that  $\left\{ \begin{smallmatrix} n+1 \\ k+1 \end{smallmatrix} \right\} = \left\{ \begin{smallmatrix} n \\ k \end{smallmatrix} \right\} + (k+1) \cdot \left\{ \begin{smallmatrix} n \\ k+1 \end{smallmatrix} \right\}$ .

**Solution.** Let  $\mathcal{E}_l^n$  denote the set of all equivalence relations on  $\{1, \dots, n\}$  with exactly  $l$  many equivalence classes.

Let  $\mathcal{A} \subseteq \mathcal{E}_{k+1}^{n+1}$  be the subset of all those equivalence relations  $E$  on  $\{1, \dots, n+1\}$  s.t. the number  $n+1$  is all alone in its  $E$ -equivalence class, i.e.  $[n+1]_E = \{n+1\}$ . Let  $\mathcal{J} \subseteq \mathcal{E}_{k+1}^{n+1}$  be the subset of those equivalence relations  $E$  for which  $[n+1]_E \neq \{n+1\}$ , i.e. for which there is a positive integer  $m \leq n$  with  $m E n$ . Clearly,  $\{\mathcal{A}, \mathcal{J}\}$  is a partition of  $\mathcal{E}_{k+1}^{n+1}$ , so  $|\mathcal{E}_{k+1}^{n+1}| = |\mathcal{A}| + |\mathcal{J}|$ .

For an equivalence relation  $E \in \mathcal{E}_{k+1}^{n+1}$ , define  $\tilde{E}$  to be the equivalence relation on  $\{1, \dots, n\}$  obtained 'by throwing away  $n+1$ ': for  $i, j \in \{1, \dots, n\}$ , put  $i \tilde{E} j \iff i E j$ .

Given  $E \in \mathcal{A}$ , we have  $\tilde{E} \in \mathcal{E}_k^n$ , as the departure of  $n+1$  reduces the number of equivalence classes by 1. Given  $D \in \mathcal{E}_k^n$ , there is exactly one equivalence relation  $E \in \mathcal{E}_{k+1}^{n+1}$  with  $\tilde{E} = D$ , namely  $E = D \cup \{(n+1, n+1)\}$ . Therefore, there are exactly as many equivalence relations in  $\mathcal{A}$  as in  $\mathcal{E}_k^n$ , that is,  $|\mathcal{A}| = |\mathcal{E}_k^n|$ .

Given  $E \in \mathcal{J}$ , we have  $\tilde{E} \in \mathcal{E}_{k+1}^n$ , because there is an  $m < n+1$  with  $m E n+1$ , and so the disappearance of  $n+1$  does not reduce the number of equivalence classes:  $\emptyset \neq [m]_{\tilde{E}} \subseteq [m]_E = [n+1]_E$ . Given  $D \in \mathcal{E}_{k+1}^n$ , we are going to show that there are exactly  $k+1$  equivalence relations in  $\mathcal{J}$  with  $\tilde{E} = D$ . Since in any such  $E$ , the number  $n+1$  has to share an equivalence class with some of the numbers in  $\{1, \dots, n\}$ , the relation  $E$  is completely determined by pointing out the equivalence class  $C$  of  $D$  such that  $[n+1]_E \supseteq C$ . As there are exactly  $k+1$  equivalence classes in  $D$ , there are exactly  $k+1$  ways to make this choice, therefore there are exactly  $k+1$  equivalence relations  $E \in \mathcal{J}$  with  $\tilde{E} = D$ . Thus each equivalence relation in  $\mathcal{E}_{k+1}^n$  accounts for exactly  $k+1$  equivalence relations in  $\mathcal{J}$ , and each equivalence relation  $E \in \mathcal{J}$  is accounted for by exactly one equivalence relation in  $\mathcal{E}_{k+1}^n$ , namely, by  $\tilde{E}$ . Therefore there are exactly  $k+1$  times as many equivalence relations in  $\mathcal{J}$  as there are in  $\mathcal{E}_{k+1}^n$ , so  $|\mathcal{J}| = (k+1) \cdot |\mathcal{E}_{k+1}^n|$ .

Finally, we conclude

$$\left\{ \begin{smallmatrix} n+1 \\ k+1 \end{smallmatrix} \right\} = |\mathcal{E}_{k+1}^{n+1}| = |\mathcal{A}| + |\mathcal{J}| = |\mathcal{E}_k^n| + (k+1) \cdot |\mathcal{E}_{k+1}^n| = \left\{ \begin{smallmatrix} n \\ k \end{smallmatrix} \right\} + (k+1) \cdot \left\{ \begin{smallmatrix} n \\ k+1 \end{smallmatrix} \right\}$$

as required.